



June 15, 2020

**MEMORANDUM FOR:** Andrei Iancu  
Under Secretary of Commerce for Intellectual Property  
and Director of the U.S. Patent and Trademark Office

**FROM:** Frederick J. Meny, Jr.  
Assistant Inspector General for Audit and Evaluation

**SUBJECT:** Audit of USPTO's Efforts to Retire Patent Legacy Systems  
(#2020-374)

We are initiating an audit of the United States Patent and Trademark Office's (USPTO's) patent legacy systems. Our objective is to review USPTO's progress towards retiring its patent legacy systems. Specifically, we plan to assess (1) the cost, schedule, and capabilities of select patent legacy systems and (2) ongoing activities to transition from the legacy systems to next generation systems.

We plan to begin this work immediately. We will contact your audit liaison to schedule an entrance conference, at which time we will discuss our audit objective and scope in further detail. If you have any questions regarding this work, please contact me at (202) 482-1931 or Amni Samson, Director for Audit and Evaluation, at (571) 272-5561.

Thank you in advance for your cooperation during this audit.

cc: Laura Peter, Deputy Under Secretary of Commerce for Intellectual Property  
and Deputy Director, USPTO  
Jamie Holcombe, Chief Information Officer, USPTO  
Nicholas Matich, Acting General Counsel, USPTO  
Jay Hoffman, Chief Financial Officer, USPTO  
Sean Mildrew, Deputy Chief Financial Officer and Audit Resolution Officer, USPTO  
Welton Lloyd, Jr., Audit Liaison, USPTO  
Mohamed Ahmed, Assistant Audit Liaison, USPTO