



February 24, 2016

MEMORANDUM FOR: Michelle K. Lee
Under Secretary of Commerce for Intellectual Property
and Director of the U.S. Patent and Trademark Office

FROM: Allen Crawley 
Assistant Inspector General for Systems Acquisition
and IT Security

SUBJECT: Audit of USPTO's IT Security Posture

As part of our fiscal year (FY) 2016 Federal Information Security Modernization Act of 2014 (FISMA) review, OIG is initiating an audit of USPTO's IT security posture. The audit objective is to determine whether key security measures are in place to adequately protect USPTO systems that utilize databases to store business information.

We have scheduled an entrance conference for March 10, at which time we can discuss our objective further. We will conduct our fieldwork at selected USPTO and contractor sites. If you have any questions, please call me at (202) 482-1855 or Dr. Ping Sun at (202) 482-6121.

cc: Steven I. Cooper, Chief Information Officer
John Owens II, Chief Information Officer, USPTO
Rod Turk, Director, Office of Cyber Security and Chief Information Security Officer
Welton E. Lloyd Jr., Audit Liaison, USPTO
Maria Stanton-Dumas, Audit Liaison, Office of the Chief Information Officer