



March 14, 2016

MEMORANDUM FOR: Stefan M. Selig
Under Secretary for International Trade

Paul Piquado
Assistant Secretary for Enforcement and Compliance
International Trade Administration

A handwritten signature in cursive script, reading "Carol N. Rice".

FROM: Carol N. Rice
Assistant Inspector General for Economic
and Statistical Program Assessment

SUBJECT: Audit of the Enforcement and Compliance Business Unit's Efforts
to Ensure Timely and Quality Trade Remedy Determinations

We are restarting our audit of ITA's Enforcement and Compliance (E&C) efforts to ensure timely and quality trade remedy determinations, which was part of our FY 2015 audit plan. This audit is the successor to one that was terminated on June 24, 2015, because of a legal dispute involving OIG's access to business proprietary information residing in E&C's information systems. Such access was necessary to test the business unit's compliance with its internal timelines and quality control processes and procedures. This issue is now resolved following passage of the FY 2016 Consolidated Appropriations Act.

Our objective is to assess and evaluate internal processes that help ensure the timeliness and accuracy of preliminary and final duty rates for antidumping and countervailing duty investigations and administrative reviews.

We have scheduled an entrance conference for March 17, 2016, at 3:30 p.m., at which time we can discuss our audit objectives and scope in more detail. At the meeting, we will also discuss the logistics for OIG staff to gain access to the necessary business proprietary information. If you have any questions about this audit, please contact me at (202) 482-6020 or Eleazar Velazquez, Supervisory Program Analyst, at (202) 482-0744. We appreciate the cooperation of your staff during this audit.

cc: Ronald Lorentzen, Principal Deputy Assistant Secretary for Enforcement
and Compliance, ITA
Christian Marsh, Deputy Assistant Secretary for AD/CVD Operations, ITA
Gary Taverman, Associate Deputy Assistant Secretary for AD/CVD Operations, ITA
Lynn Fischer Fox, Deputy Assistant Secretary for Policy and Negotiations, ITA
Robert Goodyear, Director, Office of Operations Support, ITA
Jennifer Sargeant, Audit Liaison, ITA