



April 5, 2016

MEMORANDUM FOR: Michelle K. Lee
Under Secretary of Commerce for Intellectual Property
and Director of the U.S. Patent and Trademark Office

FROM: Richard Bachman
Assistant Inspector General for Financial and Intellectual
Property Audits

SUBJECT: Audit of PTAB Trial and Appeal Pendencies

We are initiating an audit of PTAB trial and appeal pendencies at USPTO. Our audit objective is to evaluate USPTO's process to measure and report PTAB trial and appeal pendencies. We will conduct our fieldwork at the USPTO's headquarters in Alexandria, Virginia.

We have scheduled an entrance conference for April 14, 2016, when we will discuss this audit in further detail. If you have any questions, please call me at (202) 482-2877 or Amni Samson, Division Director, at (571) 272-5561.

Thank you in advance for your cooperation.

cc: Drew Hirshfeld, Commissioner for Patents, USPTO
Nathan K. Kelley, Acting Chief Judge, Patent Trial and Appeal Board, USPTO
Anthony Scardino, Chief Financial Officer, USPTO
Welton Lloyd, Audit Liaison, USPTO
Katrina Anwar, Office of Planning and Budget, USPTO