



August 16, 2022

MEMORANDUM FOR: Kathi Vidal
Under Secretary of Commerce for Intellectual Property
and Director of the United States Patent and Trademark Office

A handwritten signature in black ink, appearing to read "Frederick J. Meny, Jr.".

FROM: Frederick J. Meny, Jr.
Assistant Inspector General for Audit and Evaluation

SUBJECT: Audit of USPTO's Patent Classification and Routing Processes
(#2022-431)

We are initiating an audit of United States Patent and Trademark Office's (USPTO's) patent classification and routing processes. Our objective is to determine whether USPTO's patent application classification and routing processes are effective.

We plan to begin this work immediately. We will contact your audit liaisons to schedule an entrance conference, at which we will discuss in further detail the specific nature of our project—including our objective and scope, time frames, and any potential request for data. If you have any questions about this audit, please contact me at (202) 793-2938, or Amni Samson, Director for Audit and Evaluation, at (202) 793-3324.

We thank you in advance for your cooperation during this effort.

cc: Derrick Brent, Deputy Director, USPTO
Andrew I. Faile, Acting Commissioner for Patents, USPTO
David L. Berdan, General Counsel, USPTO
Jay Hoffman, Chief Financial Officer, USPTO
Sean Mildrew, Deputy Chief Financial Officer and Audit Resolution Officer, USPTO
Stacy Long, Senior Counsel for Employment Litigation and OIG Matters, USPTO
Nicolas Oettinger, Senior Counsel for Rulemaking and Legislative Affairs, USPTO
Welton Lloyd, Jr., Audit Liaison, USPTO
Mohamed Ahmed, Assistant Audit Liaison, USPTO
MaryAnn Mausser, Audit Liaison, Office of the Secretary