June 29, 2022

The Honorable Eddie Bernice Johnson  
Chairwoman  
Committee on Science, Space,  
and Technology  
U.S. House of Representatives  
2321 Rayburn House Office Building  
Washington, DC 20515

The Honorable Frank Lucas  
Ranking Member  
Committee on Science, Space,  
and Technology  
U.S. House of Representatives  
2321 Rayburn House Office Building  
Washington, DC 20515

Dear Chairwoman Johnson and Ranking Member Lucas:

In accordance with 15 U.S.C. § 638b(c), this letter transmits the U.S. Department of Commerce Office of Inspector General’s (OIG’s) report of the requested data and information regarding cases related to the Small Business Innovation Research (SBIR) program for fiscal year (FY) 2021.

During FY 2021, OIG received one complaint relating to the SBIR program. A multi-agency SBIR investigation (i.e., OIG investigation 21-1014) was initiated in March 2021 and remains in an open-investigative status as of the date of this letter.

OIG did not close any cases during FY 2021 in which fraud, waste, or abuse was “determined to have occurred” regarding an SBIR program investigation. OIG’s estimated FY 2021 expenditures involving SBIR program investigations was $1,453.14; no funds were recovered or saved as a result OIG’s SBIR-related activities.

If you have any questions concerning this response, please contact me at (202) 793-3336 or Scott Kieffer, Assistant Inspector General for Investigations, at (202) 893-8770.

Sincerely,

Peggy E. Gustafson  
Inspector General