



March 23, 2021

MEMORANDUM FOR: André Mendes
Chief Information Officer

FROM: Frederick J. Meny, Jr.
Assistant Inspector General for Audit and Evaluation

SUBJECT: Fiscal Year 2021 FISMA Compliance Audit (#2021-404)

As required by the Federal Information Security Modernization Act of 2014 (FISMA), our office is initiating its fiscal year 2021 audit of the U.S. Department of Commerce (the Department's) information security program and practices. As further mandated by FISMA, we will review a representative subset of Department systems to assess compliance with FISMA requirements.

Our audit objective is to assess the effectiveness of the Department's information security program and practices. Specifically, we will assess the Department's and selected bureaus' policies, procedures, and selected information technology systems. We will use the results of this audit to answer the FISMA security metrics defined by the Office of Management and Budget and the U.S. Department of Homeland Security.

We plan to begin this work immediately. We will contact your audit liaison to schedule an entrance conference, at which time we can further discuss our objective and scope, time frames, and any potential needs for us to be provided data. If you have any questions, please call me at (202) 482-1931 or Chuck Mitchell, Director for Cybersecurity, at (202) 482-5139.

We thank you in advance for your cooperation during this audit.

cc: Amanda Lyndaker, Acting Chief Information Officer, BEA
G. Nagesh Rao, Chief Information Officer, BIS
Gregg Bailey, Chief Information Officer, Census Bureau
Jim Gwinn, Chief Information Officer, FirstNet Authority
Rona Bunn, Chief Information Officer, ITA
Chandan Sastry, Chief Information Officer, NIST
Zachary Goldstein, Chief Information Officer, NOAA
Catrina Purvis, Chief Information Officer, NTIA
Allison McCall, Chief Information Officer, NTIS
Maria Hishikawa, IT Audit Liaison, OCIO
Jennifer Goode, Acting SAOP/CPO, Office of Privacy and Open Government
Lawrence Anderson, D.M., Chief Information Officer, Office of the Secretary
Albert Moesle, Director of Enterprise Risk Management and Deputy Chief Risk Officer,
Office of the Secretary
Jamie Holcombe, Chief Information Officer, USPTO