



December 19, 2018

MEMORANDUM FOR: Rod Turk
Acting Chief Information Officer

A handwritten signature in black ink, appearing to read "Frederick J. Meny, Jr.".

FROM: Frederick J. Meny, Jr.
Assistant Inspector General for Audit and Evaluation

SUBJECT: Audit of the Department's Implementation of Security Controls
to Protect the Enterprise Web Solutions System (#2019-337)

We are initiating an audit to assess security controls implemented to protect the Department of Commerce's (the Department's) Enterprise Web Solutions (EWS) system. Our objectives are to determine whether the (1) processes used to vet contracting staff given administrative access to the EWS system are adequate; (2) Department followed a sufficient process to identify the impact level of the EWS system; (3) Office of the Chief Information Officer took appropriate actions to protect the information on the EWS system after it was granted an authorization to operate in 2018; and (4) contract used to procure EWS services and systems complied with Department acquisition regulations.

Our audit work will include interviewing Department officials responsible for operating, securing, and managing the contract for this system, reviewing system security documentation, and examining system configurations.

Please note that this is a separate product from the research effort we announced in June 2018. Upon issuance of this audit announcement, our office will be closing the "Research on the Department's Enterprise Web Solutions (EWS) System," which was announced on June 28, 2018.

We plan to begin our audit work immediately. We have scheduled an entrance conference for January 7, 2019, at which time we will discuss our audit objectives and scope in further detail. If you have any questions, please call me at (202) 482-1931, or Clark Morsbach, Director for Audit and Evaluation, at (202) 482-5509.

We thank you in advance for your cooperation during this audit.

cc: Ja'Nelle DeVore, Acting Chief Information Security Officer
Joselyn Bingham, Audit Liaison, Office of the Chief Information Officer
Maria Dumas, IT Security Audit Action Officer, Office of the Chief Information Officer
Hector Burgos, IT Security Audit Tracking Support, Office of the Chief Information Officer
MaryAnn Mausser, Audit Liaison, Office of the Secretary