



June 29, 2022

The Honorable Ben Cardin  
Chair  
Committee on Small Business  
and Entrepreneurship  
United States Senate  
428A Russell Senate Office Building  
Washington, DC 20510

The Honorable Rand Paul  
Ranking Member  
Committee on Small Business  
and Entrepreneurship  
United States Senate  
428A Russell Senate Office Building  
Washington, DC 20510

Dear Chair Cardin and Ranking Member Paul:

In accordance with 15 U.S.C. § 638b(c), this letter transmits the U.S. Department of Commerce Office of Inspector General's (OIG's) report of the requested data and information regarding cases related to the Small Business Innovation Research (SBIR) program for fiscal year (FY) 2021.

During FY 2021, OIG received one complaint relating to the SBIR program. A multi-agency SBIR investigation (i.e., OIG investigation 21-1014) was initiated in March 2021 and remains in an open-investigative status as of the date of this letter.

OIG did not close any cases during FY 2021 in which fraud, waste, or abuse was "determined to have occurred" regarding an SBIR program investigation. OIG's estimated FY 2021 expenditures involving SBIR program investigations was \$1,453.14; no funds were recovered or saved as a result OIG's SBIR-related activities.

If you have any questions concerning this response, please contact me at (202) 793-3336 or Scott Kieffer, Assistant Inspector General for Investigations, at (202) 893-8770.

Sincerely,

A handwritten signature in black ink that reads "Peggy E. Gustafson". The signature is written in a cursive style.

Peggy E. Gustafson  
Inspector General