



October 18, 2023

The Honorable Ben Cardin
Chairman
Committee on Small Business and
Entrepreneurship
United States Senate
Washington, DC 20510

The Honorable Joni Ernst
Ranking Member
Committee on Small Business and
Entrepreneurship
United States Senate
Washington, DC 20510

The Honorable Roger Williams
Chairman
Committee on Small Business
U.S. House of Representatives
Washington, DC 20515

The Honorable Nydia Velazquez
Ranking Member
Committee on Small Business
U.S. House of Representatives
Washington, DC 20515

The Honorable Frank Lucas
Chairman
Committee on Science, Space, and
Technology
U.S. House of Representatives
Washington, DC 20515

The Honorable Zoe Lofgren
Ranking Member
Committee on Science, Space, and
Technology
U.S. House of Representatives
Washington, DC 20515

Dear Chairs and Ranking Members:

In accordance with 15 U.S.C. § 638b(c), this letter transmits the U.S. Department of Commerce Office of Inspector General's (OIG's) report of the requested data and information regarding cases related to the Small Business Innovation Research (SBIR) program for fiscal year (FY) 2023.

During FY 2023, OIG did not open any investigations relating to SBIR award recipients. There are three investigative actions opened during previous reporting periods that remain in an open investigative status and two previously reported investigations that were closed during FY 2023.

OIG's estimated FY 2023 expenditures involving SBIR program investigations to date were \$52,672; no funds were recovered or saved as a result of OIG's SBIR-related activities. However, OIG continues to include review of SBIR grant files and coordination with SBIR Program Managers in its ongoing and proactive investigative efforts.

If you have any questions concerning this response, please contact me at (202) 793-3336 or Scott Kieffer, Assistant Inspector General for Investigations, at (202) 893-8770.

Sincerely,

A handwritten signature in black ink, appearing to read "Peggy E. Gustafson".

Peggy E. Gustafson
Inspector General